

Distributor Name & ARN No.	Sub-Broker Code	Employee Unique Identification No.*	RIA Name & RIA Code*	Date & Time of Receipt
<b>ARN-167174</b>		<b>E038800</b>		

\*Purpose of EUIN is to capture the identification of the sales person/employee/relationship manager of the distributor interacting with the investor, irrespective of whether the transaction is "Execution only" or "Advisory". However, in case of any exceptional cases where there is no such interaction, the investor can keep EUIN box blank and sign the following declaration;  
I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.  
#I/ We hereby give my/ our consent to share/ provide transaction data feed/ unit holding in respect of my/ our investments under Direct Plan to the above mentioned RIA.

First Unitholder/ Guardian/ POA	Second Unitholder	Third Unitholder
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Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investor's assessment of various factors including the service rendered by the distributor.

<b>TRANSACTION CHARGES</b> Please tick (✓)	<input type="checkbox"/> I am a First time investor across Mutual Funds (₹ 150 will be deducted)	OR	<input type="checkbox"/> I am an existing investor in Mutual Funds (₹ 100 will be deducted)
<small>Applicable for transactions routed through a distributor who has 'opted in' for transaction charges. Upfront commission shall be paid directly by the investor to the AMFI register distributor based on the investors' assessment of various factors including service rendered by the distributor.</small>			

**1 EXISTING UNITHOLDERS DETAILS**

Existing Folio No. \_\_\_\_\_ Name of Sole/ First Unit Holder \_\_\_\_\_

**Note:** All investor details like mode of holding, nomination, bank details, investor address and contact details, will be captured as per existing information under the given folio. Proceed directly to section 7. For registering information, please **Do Not** fill-in this section.

**2 NEW APPLICANT'S DETAILS** (Please fill in BLOCK LETTERS with black/blue ink and read the instructions carefully, on page 1 to 4 before filling up the form)

Name of Entity/Sole/First Applicant \_\_\_\_\_ Mr. Ms.

PAN/PEKRN \_\_\_\_\_ KYC  Yes  No Mode of Holding (Please ✓)  Single  Joint  Either/ Anyone or Survivor (Default Option : Joint)

Date of Birth (Mandatory for Minor Applicant) \_\_\_\_\_ Proof of Birth (Please ✓)  Passport  Birth Certificate  Others \_\_\_\_\_

Status Please (✓)

<input type="checkbox"/> Resident Individual	<input type="checkbox"/> PSU	<input type="checkbox"/> AOP/BOI	<input type="checkbox"/> Minor through Guardian	<input type="checkbox"/> HUF	<input type="checkbox"/> Trust /Charities / NGOs	<input type="checkbox"/> Society	<input type="checkbox"/> FI	<input type="checkbox"/> NRI
<input type="checkbox"/> Company/Body Corporate	<input type="checkbox"/> Sole Proprietor	<input type="checkbox"/> Defence Establishment	<input type="checkbox"/> PIO	<input type="checkbox"/> Bank	<input type="checkbox"/> FPI (as and when applicable)	<input type="checkbox"/> Government Body		
<input type="checkbox"/> Partnership Firm	<input type="checkbox"/> Others _____							

(For Non-Individual investors, FATCA, CRS & Ultimate Beneficial Ownership (UBO) Self Certification Form is mandatory, and should be filled separately)

**Non-Individual Investors involved/providing any of the mentioned services**  
Please (U) (Applicable only for Non Individuals)

<input type="checkbox"/> Foreign Exchange/ Money Changer Services	<input type="checkbox"/> Money Lending/ Pawning
<input type="checkbox"/> Gaming/ Gambling/ Lottery/ Casino Services	<input type="checkbox"/> None of the above

Name of Guardian / Contact Person \_\_\_\_\_ Mr. Ms.  
(Contact Person for non-individual applicant)

PAN/PEKRN for Guardian / Contact Person \_\_\_\_\_ Relationship with Minor  Father  Mother  Legal Guardian (Refer instructions)

**3 NAME OF THE SECOND APPLICANT** Mr. Ms.

Date of Birth \_\_\_\_\_ PAN/PEKRN \_\_\_\_\_ Self-attested copy of PAN/PEKRN along with KYC acknowledgment should be attached

**4 NAME OF THE THIRD APPLICANT** Mr. Ms.

Date of Birth \_\_\_\_\_ PAN/PEKRN \_\_\_\_\_ Self-attested copy of PAN/PEKRN along with KYC acknowledgment should be attached

**5 ADDRESS & CONTACT DETAILS OF FIRST/ SOLE APPLICANT** (P.O. Box Address is not sufficient. Refer instruction no. 3)

Correspondence Address	Overseas Address
<small>(address details will be updated as per your KYC records with CKYC / KRA.)</small>	<small>(Mandatory for NRI / FII Applicants)</small>
HOUSE / FLAT NO. _____	HOUSE / FLAT NO. _____
STREET ADDRESS _____	STREET ADDRESS _____
CITY / TOWN _____ STATE _____	CITY / TOWN _____ STATE _____
COUNTRY _____ PIN CODE _____	COUNTRY _____ PIN CODE _____

Tel. (Res.) \_\_\_\_\_ Tel. (Off.) \_\_\_\_\_ Mobile No. \_\_\_\_\_

Mobile No. provided pertains to  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  A Guardian in case of a minor

Email ID (CAPITAL letters only) \_\_\_\_\_

Email ID provided pertains to  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  A Guardian in case of a minor

I hereby authorise 360 ONE MF (Formerly known as IIFL MF) to send important scheme related information through SMS and Whatsapp.  
Investors providing Email ID would mandatorily receive E - Statement of Accounts in lieu of physical Statement of Accounts and the annual report or abridged summary on email.  
 I wish to receive physical copy of the scheme wise annual report and abridged summary.

<p><b>asset</b> <b>360</b> <b>ONE</b></p> <p><b>ACKNOWLEDGMENT SLIP</b> (To be filled in by the Applicant)</p>	ARN No: _____	Application No. _____
	<p>Received from _____</p> <p>Instrument No. _____ Drawn on Bank &amp; Branch _____</p> <p>Scheme/ Plan/ Option/ Sub-Option _____ Amount Rs. _____</p>	Signature, Stamp & Date _____

**6 BANK ACCOUNT DETAILS (Mandatory) (Details of bank account in which redemption, IDCW or other payments to be credited.)**

Account No.<sup>s</sup>  Account Type  Savings  Current  NRO  NRE  FCNR  
 Bank Name  (Do not abbreviate)  
 Branch  City  Pin Code   
 IFSC Code\*  MICR Code\*  (IFSC/ NEFT code required for Direct credit)

Please provide a cancelled cheque leaf of the same bank account as mentioned above in case the bank account details differ from investment bank account details given in Section (9).  
 360 ONE Mutual Fund shall not be held responsible for delays or errors in processing your request if the information provided is incomplete or inaccurate.

<sup>s</sup>For unit holders opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here. \* indicates - Mandatory.

**7 FATCA and CRS DETAILS For Individuals (Mandatory) Non Individual investors including HUF mandatorily fill separate FATCA/CRS details form**

Sole/First Applicant/Guardian			2nd Applicant			3rd Applicant		
Country#	Tax Payer <sup>®</sup> Ref. ID No	Identification Type	Country#	Tax Payer <sup>®</sup> Ref. ID No	Identification Type	Country#	Tax Payer <sup>®</sup> Ref. ID No	Identification Type
1			1			1		
2			2			2		
3			3			3		

<sup>®</sup>Please indicate all Countries in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type eg. TIN etc.

<sup>®</sup>In case Tax Identification Number is not available, kindly provide its functional equivalent.

Sole/First Applicant/Guardian		2nd Applicant		3rd Applicant	
Country of Birth		Country of Birth		Country of Birth	
Country of Nationality		Country of Nationality		Country of Nationality	

In case Country of Tax Residence is only India then details of Country of Birth & Nationality need not be provided.

**8 ADDITIONAL KYC DETAILS (Mandatory. Please read instructions no 5 & 6 under APPLICANT'S INFORMATION.)**

OCCUPATION	Professional	Agriculturist	Housewife	Retired	Government Service/Public Sector	Business	Forex Dealer	Student	Private Sector Service	Others
1st Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2nd Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3rd Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Guardian	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

GROSS ANNUAL INCOME DETAILS <sup>^</sup>	Below 1 Lac	1-5 Lacs	1-5 Lacs	5-10 Lacs	10-25 Lacs	25 Lacs-1 Crore	>1 Crore	NET-WORTH IN ₹	Date
1st Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	(Net worth should	D D M M Y Y Y Y
2nd Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	not be older	D D M M Y Y Y Y
3rd Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	than 1 year)	D D M M Y Y Y Y
Guardian	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		D D M M Y Y Y Y

PEP DETAILS	1st Applicant		2nd Applicant		3rd Applicant		Guardian	
Are you a Politically Exposed Person (PEP)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Are you related to a Politically Exposed Person (PEP)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No

<sup>^</sup>Please attach Proof for income and occupation.

**9 PAYMENT & INVESTMENT DETAILS (Mandatory) (Details of account from which investment has been done.)**

Scheme  Plan  Regular  Direct Option   
 Amount (figures)  Payment mode  Cheque  DD  Fund Transfer  RTGS/NEFT Instrument no.  Cheque/DD/UTR/UMR No.   
 Account No.  A/c  Saving  Current  NRO  NRE  FCNR  Others  Please specify  Instrument Date   
 Bank  Branch   
 Types of Investment  Lumpsum  Lumpsum + SIP (for SIP please fill separate SIP cum Mandate registration form)

LEI No.  Valid Upto   
 Note: LEI no. is Mandatory for transaction amount 50 crs above for Non individual. LEI number of 360 ONE Mutual Fund is 335800JVNCKDJFV116

**10 UNITHOLDING OPTION  Demat Mode  Physical Mode** These details are compulsory if the investor wishes to hold the units in DEMAT mode.

Please ensure that the sequence of Names as mentioned in the application form matches with that of the account held with any one of the Depository Participant.

National Securities Depository Limited (NSDL)	Central Depository Securities Limited (CDSL)
DP ID No. Beneficiary Account No. <input type="text"/>	Target ID No. <input type="text"/>
Enclosures (Please tick any one box) <input type="checkbox"/> Client Master List (CML) <input type="checkbox"/> Transaction cum Holding Statement <input type="checkbox"/> Cancelled Delivery Instruction Slip (DIS) <input type="checkbox"/>	



**11 NOMINATION (Mandatory) (Please tick and confirm the option selected)****PART A – NOMINATION OPT-OUT**

I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

First Unitholder/ Guardian/ POA	Second Unitholder	Third Unitholder
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**PART B – NOMINATION OPT-IN**

I/We hereby Nominate the person(s) more particularly described hereunder to receive the Units held my/our Folio/s listed below in the event of my / our death.

Details	Nominee 1	Nominee 2	Nominee 3
Nominee Name			
Nominee Address			
Relationship with the Investor			
Allocation % (Total to be 100%)			
Nominee PAN			
Mobile No.			
Email ID			
Date of Birth	(D D / M M / Y Y Y Y)	(D D / M M / Y Y Y Y)	(D D / M M / Y Y Y Y)
<b>In case if Nominee is a Minor (Mandatory)</b>			
Guardian Name			
Guardian Address			
Guardian's Relationship with the Minor (attach Proof)			
Nominee/Guardian Signature			

**12 POWER OF ATTORNEY (POA) HOLDER DETAILS****PAN**

First Applicant POA Name																			
Second Applicant POA Name																			
Third Applicant POA Name																			

**13 DECLARATION & SIGNATURES**

I/We have read, understood and agree to comply with the terms and conditions of the Statement of Additional Information, Scheme Information Documents and Key Information Memorandum of the Scheme(s), Foreign Account Tax Compliance Act and Common Reporting Standards, statutory requirements prescribed by SEBI, AMFI, Prevention of Money Laundering Act, 2002 (PMLA), Privacy Policy of 360 ONE Asset Management Limited (360 ONE AMC) (Formerly known as IIFL Asset Management Limited) available on the website of 360 ONE Mutual Fund www.iiflmutualfund.com and all applicable rules and regulations and hereby confirm that I/We have not received nor been induced by any rebate or gifts, directly or indirectly, to make this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. For NRIs / PIOs / FPIs only: I / We confirm that I am / we are Non-Resident Indians / Person(s) of Indian Origin / Foreign Portfolio Investors but not (i) United States persons as per applicable Regulations or (ii) residents of Canada, and I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR Account maintained in accordance with applicable RBI guidelines.

I/We hereby accord my/our consent and hereby authorize 360 ONE AMC/Fund for (i) collecting, receiving, possessing, storing, dealing, handling or disclosure of my/ our Personal Data to the third party or another body corporate or any person acting under a lawful contract with 360 ONE AMC, in accordance with the Privacy Policy. (ii) validating/authenticating with Unique Identification Authority of India ("UIDAI") by itself or through its Registrar and Transfer Agent ("RTA"). I hereby authorize the representatives of 360 ONE Asset Management Limited and its Associates to contact me through any mode of communication. (iii) I/We hereby accord my/our consent to 360 ONE AMC for receiving the promotional information/ material via email, SMS, Whatsapp, calls etc. on the mobile number and email provided by me/us in this Application Form.

First Unitholder/ Guardian/ POA	Second Unitholder	Third Unitholder
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**I. GENERAL INSTRUCTION:**

1. Please read the Key Information Memorandum (KIM), Statement of Additional Information (SAI), the Scheme Information Document (SID) and addenda issued from time to time carefully before investing. It is deemed that all investors have read, understood, and accepted the terms subject to which this offer is being made and adhere to the terms on signing the Application Form and submitting the same.
2. The application form must be filled in English and in BLOCK letters using Black or Dark Blue coloured ink. Incomplete applications are liable for rejection. Please ensure that all requisite details and documents have been provided. This will ensure successful processing and avoid any processing delays and / or rejection of your Application Form.
3. Applicant's name and address must be mentioned in full (P.O. Box No. is not sufficient). In case of multiple applicants, all communication and payments towards redemption / IDCW Policy will be made in favour of first applicant only. If the first applicant is a minor, the name of the guardian who will sign on behalf of the minor should be filled in the space provided. Date of birth is required for validating your identity for certain transactions/ communication. Providing Mobile No./E-mail Id. of the first applicant is essential, to facilitate faster and efficient communication.
4. All applicants must sign the form, (quoting existing Folio no, if any). Thumb impressions must be attested by a Judicial Magistrate/Notary Public under his/her official seal. In case of HUF, ONLY the Karta should sign on behalf of the HUF. Authorised signatories, signing on behalf of a Co./Body Corp./Society/Trust etc should sign with their official seal which mentions their designation. A list of Authorised Signatories with their names & designations duly certified / attested should be attached with the application form.
5. Please note that if scheme Plan / Option is not ticked / indicated in the Application form, the units will, by default, be allotted under the default sub-options. Thus, investors are required to clearly indicate the plans/options in the application form of the scheme.
6. In case of Mode of Holding is not mentioned for Joint Holder's the default mode of holding would be Joint.
7. If the investment has been done on behalf of the minor, then the minor shall be the sole holder in the folio /account. Joint holding is not allowed in the folio/account opened on behalf of the minor.
8. Guardian in the folio on behalf of the minor should either be a Natural guardian (i.e. father or mother) or a court appointed Guardian.
9. If the investment has been executed through a distributor, the name and ARN Code of the distributor should be mentioned. Else the same would be considered as DIRECT investment. For Direct investment please Mention "Direct" in the Column "Name & Broker Code/ARN".

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct Plan	Direct Plan
3	Not mentioned	Regular Plan / Other than Direct Plan	Direct Plan
4	Mentioned	Direct Plan	Direct Plan
5	Direct	Not mentioned	Direct Plan
6	Direct	Regular Plan / Other than Direct Plan	Direct Plan
7	Mentioned	Regular Plan / Other than Direct Plan	Regular Plan / Other than Direct Plan
8	Mentioned	Not mentioned than Direct Plan	Regular Plan / Other

10. In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.
11. In case the subscription amount is Rs 10,000 or more and your Distributor has opted to receive Transaction Charges, Rs 100 will be deductible from the purchase / subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.
12. Investors can opt for Special facilities like Systematic Investment Plan, Systematic Transfer Plan, STEP UP, & Systematic Withdrawal Plan, Separate Transaction / Enrolment Form to be filled-in for registration of these facilities.
13. The guardian cannot undertake any financial and non-financial transactions including fresh registration of Systematic Transfer Plan (STP), Systematic Investment Plan (SIP) and Systematic Withdrawal Plan (SWP) after the date of the minor attaining majority till the documents for the change in status is provided.
14. If a new investor wishes to opt for SIP through NACH mode then a separate SIP cum Mandate Form must be submitted along with the Common Application Form. In case an existing investor wishes to opt for NACH mode for SIP then only SIP cum Mandate form can be submitted.
15. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of

States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

16. If the Scheme name on the application form and on the payment, instrument are different, the application will be processed and units will be allotted at applicable NAV of the scheme mentioned in the application / transaction slip duly signed by investor(s), given that the same constitutes a valid legal document between the investor and the AMC.
17. If the name of the applicant, as mentioned in the application form, does not match with the name on the PAN Card, application may be rejected, or further transactions may be liable to get rejected.

**II. APPLICANT'S INFORMATION:**

1. When application(s) has been made by individual investors under a Power of Attorney, the original Power of Attorney or a duly notarized copy should be submitted along with the application form. In case of applications made by non-individual investors, the authorized signatories of such nonindividual investors should sign the application form in terms of the authority granted to them under the Constitutional Documents/Board resolutions / Power of Attorneys, etc. A list of specimen signatures of the authorized signatories, duly certified / attested should also be attached to the Application Form. The Mutual Fund/AMC/Trustee shall deem that the investments made by such non individual investors are not prohibited by any law/Constitutional documents governing them and they possess the necessary authority to invest.
2. Application made by a limited company or by a body corporate or a registered society or a trust, should be accompanied by a copy of the relevant resolution or authority to invest in such schemes, as the case may be, along with a certified copy of the Memorandum and Articles of Association or Trust Deed / Bye Laws / Partnership Deed, whichever is applicable.
3. Date of Birth of the minor is mandatory while opening the account /folio.
4. For application done on behalf of a minor the relationship/status of the guardian as father, mother or legal guardian and proof of Date of birth of the minor is MANDATORY. The following documents must be submitted along with the application form as evidence:
  - i. Birth certificate of the minor, or
  - ii. Passport of the minor, or
  - iii. School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or
  - iv. Any other suitable proof evidencing the date of birth of the minor.
  - v. In case of court appointed legal guardian, supporting documentary evidence shall be provided.
  - vi. In case of natural guardian a document evidencing the relationship if the same is not available as part of the documents submitted as per point. i - iv above.
5. PERMANENT ACCOUNT NUMBER (PAN) - SEBI has made it mandatory for all applicants (each of the applicants, where multiple holders are present) to mention his/her permanent account number (PAN) irrespective of the amount of purchase. Where the applicant is a minor, and does not possess his / her own PAN, he / she shall quote the PAN of his/ her father or mother or the guardian, signing on behalf of the minor, as the case may be. To verify that the PAN of the applicants (in case of application in joint names, each of the applicants) has been correctly quoted, the applicants should attach, a photocopy of the PAN card duly self-certified along with the original PAN Card. The original PAN Card will be returned immediately across the counter after verification. Applicable for fresh/ additional purchase, Systematic Investment. Micro investment (including lumpsum & Micro SIP) & Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission however they are required to mandatorily submit PAN Exempt KYC Reference No (PEKRN) to 360 ONE Mutual Fund. Applications not complying with the above requirement may not be accepted/ processed. Additionally, in the event of any Application Form being subsequently rejected for mismatch / non-verification of applicant's PAN details with the details on the website of the Income Tax Department, the investment transaction will be cancelled, and the amount may be redeemed at the applicable NAV, subject to payment of exit load, if any. Please contact your Distributor / CAMS Investor Service Centres or visit our website <https://iifmf.com> for further details.

**PAN Exempt Investments**

SEBI vide its circular dated July 24, 2012, has clarified those investments in mutual funds schemes (including investments in SIPs) of upto Rs.50,000 per investor per year across all schemes of the Fund shall be exempt from the requirement of PAN. Accordingly, individuals (including Joint Holders who are individuals, NRIs but not PIOs, Minors) and Sole proprietary firms who do not possess a PAN ("Eligible Investors")\* are exempt from submission of PAN for investments upto Rs.50,000 in a rolling 12 month period or in a financial year i.e. April to March. However, Eligible Investors are required to undergo Know Your Customer (KYC) procedure with any of the SEBI registered KYC Registration Authorities (KRA). Eligible Investors must quote PAN Exempt KYC Reference Number (PEKRN) issued by the KRA under the KYC acknowledgement letter in the application form and submit a copy thereof along with the application form. In case the applicant is a minor, PAN /PEKRN details of the Guardian shall be submitted, as applicable. Eligible Investors (i.e. the First Holder) must not possess a PAN at the time of submission of application form. Eligible investors must hold only one PEKRN issued by any one of the KRAs.

If an application for investment together within investments made in a rolling 12-month period or in a financial year exceeds Rs.50,000, such an application will be rejected.

Fresh / Additional Purchase and Systematic Investment Plans will be covered in the limit of Rs.50,000. Investors may switch their investments to other Schemes. However, if the amount per switch transaction is Rs.50,000 or more, in accordance with the extant Income Tax rules, investors will be required to furnish a copy of PAN to the Mutual Fund.

The detailed procedures / requirements for accepting applications shall be as specified by the AMC/Trustee from time to time and their decision in this behalf will be final and binding.

\* HUFs and other categories are not eligible for such investments.

6. **PREVENTION OF MONEY LAUNDERING AND KNOW YOUR CLIENT (KYC)** - In order to reduce hardship and help investors dealing with SEBI intermediaries, SEBI issued three circulars - MIRSD/SE/Cir-21/2011 dated October 05, 2011, MIRSD/Cir-23/2011 dated December 02, 2011 and MIRSD/Cir-26/2011 dated December 23, 2011 informing SEBI registered intermediaries as mentioned therein to follow, with effect from January 01, 2012, a uniform KYC compliance procedure for all the investors dealing with them on or after that date. SEBI also issued KYC Registration Agency ("KRA") Regulations 2011 and the guidelines in pursuance of the said Regulations and for In-Person Verification ("IPV"). All investors (individual and non- individual) are required for KYC compliance. However, applications should note that minors cannot apply for KYC compliance and any investment in the Name of minors should be through a Guardian, who should be KYC compliant for the purpose of investing with a Mutual Fund. Also, applicants/ unit holders intending, to apply for units currently holding units and operating their Mutual Fund folios through a Power of Attorney (PoA) must ensure that the issue of PoA and the holder of the PoA must mention their KYC compliance status at the time of investment. PoA holders are not permitted to apply for KYC compliance on behalf of the issuer of the PoA, Separate procedures are prescribed for change in name, address, and other KYC related details, should the applicant desire to change such information, ISC will extend the services of effecting such changes.

In line with the SEBI circular No. MIRSD/Cir-5/2012 dated April 13, 2012, and various other guidelines issued by SEBI on the procedural matters for KYC Compliances, the Investors are requested to note the following additional provisions shall be applicable for "KYC Compliances" with effect from December 1, 2012:

- 1) For an existing investor of 360 ONE MF, who is already KYC Compliant under the erstwhile centralized KYC with CVL (CVLMF) then there will be no effect on subsequent Purchase/ Additional Purchase (or ongoing SIPs/STPs, etc) in the existing folios/accounts which are KYC compliant. Existing Folio holder can also open a new folio with 360 ONE Mutual Fund with the erstwhile centralized KYC
- 2) For an existing investor of 360 ONE Mutual Fund, who is not KYC Compliant as per our records, the investor will have to submit the standard KYC Application forms available on the website [www.cvlkra.com](http://www.cvlkra.com) along with supporting documents at any of the SEBI registered intermediaries at the time of purchase / additional purchase / new registration of SIP/STP etc. In Person Verification (IPV) will be mandatory at the time of KYC Submission. This uniform KYC submission would a onetime submission of documentation.
- 3) Investors who have complied with KYC process before December 31, 2011 (KYC status with CVL-KRA as "MF - VERIFIED BY CVLMF") and not invested in the schemes of 360 ONE Mutual Fund i.e did not open a folio earlier, and wishes to invest on or after December 01, 2012, such investors will be required to submit 'missing/not available' KYC information and complete the IPV requirements.

Updation of 'missing / not available' KYC information along with IPV is currently a one-time requirement and can be completed with any one of the mutual funds where investors have existing investments. Once the same is completed, the KYC status at CVL-KRA will change to 'Verified by CVL KRA' after due verification. In such a scenario, where the KYC status changes to 'Verified by CVL KRA', investors need not submit the 'missing/not available' KYC information to mutual funds again.

Individual Investors are required to submit 'KYC Details Change Form' issued by CVL-KRA available on their website [www.cvlkra.com](http://www.cvlkra.com).

In case of non-Individual investors, complied with KYC process before December 31, 2011, KYC needs to be done afresh due to significant and major changes in KYC requirements. Investors must provide complete details in the KYC application form along with the require documents (for individual investors or non-individual investors as appropriate). The said form is available on the website of Association of Mutual Funds in India i.e. [www.amfiindia.com](http://www.amfiindia.com) or on the website of any authorised KRA's.

7. In case of NRI/ FPI investors the Account Statements / Redemption Cheques / Other correspondence will be sent to the mailing address mentioned.
8. All applications are accepted subject to detailed scrutiny and verification. Applications which are not complete in all respects are liable for rejection, either at the collection point itself or subsequently after detail scrutiny/verification at the back office of the registrars.

### III. BANK DETAILS:

1. As per the SEBI guidelines, it is MANDATORY for investors to mention their bank account details in the application form. In the absence of the bank details the application form will be rejected.
2. Purchase Application requests should necessarily mention the pay-in bank account details i.e bank name, bank account number, bank branch used for issuing the payments to the fund. The subscription payment has to be made from first/unit holder's bank account or through any of the bank account wherein he is one of the joint bank account holders. If this is not evidenced on the payment cheque/funds transfer/RTGS/NEFT request, demand draft etc given by the investor at the time of subscription then unit holder should attach necessary supporting documents as required by the fund like attested copy of bank certificate, bank passbook copy, bank statement etc to prove that the funds are from a bank account held by the first unit holder only. If the documents are not submitted with the application the fund reserves the right to reject the application or call for additional details.
3. Direct Credit of Redemption / IDCW Proceeds / Refund if any – 360 ONE MF will endeavour to provide direct / electronic credit for IDCW / redemption payments into the investors bank account directly. In case the direct credit is not affected by the unitholder's banker for any reason then 360 ONE MF reserves the right to make the payment to the investor by a cheque / DD. If the electronic credit is delayed or not affected or credited to a wrong account, on account of incomplete or incorrect information, 360 ONE MF will not be held responsible. Please provide the MICR Code/IFSC code on the right bottom of your Cheque for us to help you in future for ECS/NEFT credit of IDCW and redemption payout.
4. 360 ONE MF offers facility to register multiple bank accounts in the folio and designate one of the bank accounts as "Default Bank account". Default bank account will be used for all IDCW and redemption payouts unless investor specifies one of the existing registered bank accounts in the redemption request for receiving redemption proceeds. A new non – registered bank account specified in the redemption request for receiving redemption proceeds will not be considered and the redemption proceeds will by default be credited into the default Bank account. The investor will have to initially get the non-registered bank account registered in the folio and then apply for the redemption request. Also, if no registered bank account is mentioned at the time of redemption, then by default the redemption proceeds will be credited into the default

#### Bank account.

5. In accordance with the AMFI Best Practice Guideline Circular No. 17/2010-11 dated October 22, 2010 and Circular No. 39/2013-14 dated August 23, 2013 and to reduce operational risk, Investor(s) are requested to note that any one of the following documents shall be required to submit as a proof of Bank Account Details (for Redemption/IDCW), in case the cheque provided along with fresh subscription/new folio creation does not belong to the Bank Account Details specified in the application form for redemption / IDCW payments w.e.f. March 1, 2014.
  - a) Cancelled original cheque of the Bank Account Details with first unit holder Name and bank account number printed on the face of the cheque; (or)
  - b) Self attested copy of bank statement with current entries not older than 3 months; (or)
  - c) Self attested copy of bank passbook with current entries not older than 3 months; (or)
  - d) Bank Letter duly signed by branch manager/authorized personnel.

### IV. INVESTMENT & PAYMENT DETAILS:

1. Payment should be made by crossed cheques, /Demand Draft/pay order, favouring the scheme name and marked "Account Payee" payable locally in the city where the application is submitted. Post-dated or outstation cheques/draft are not permitted. Application received with outstation cheque/demand draft shall be rejected. With a view to avoid fraudulent practices, it is recommended that investors may also make the payment instrument (cheque, demand draft, pay order, etc.) favouring either of the following: (a) "XYZ Scheme A/c Permanent Account Number" (b) "XYZ Scheme A/c First Investor Name" (Investors are urged to follow the order of preference in making the payment instrument).  
In case of investments made in the name of a minor through a guardian by means of any mode, they shall be accepted from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian only. Documentary proof, thereof is to be attached, if not attached the AMC reserves the right to call for the same at a later date.  
Irrespective of the source of payment for subscription, all redemption proceeds shall be credited only in the verified bank account of the minor, i.e. the account the minor may hold with the parent/ legal guardian after completing all KYC formalities.
2. Please mention the application serial no. on the reverse of the cheque/demand draft tendered with the application.
3. In case the payment is made through Demand Draft or Bankers Cheque or Indian Rupee draft purchased abroad or from FCNR or NRE A/c, an Account Debit Certificate from the Bank issuing the draft, confirming the debit should be submitted. For subscription made by NRE / FCNR Account cheques, the application forms must be accompanied with a photocopy of the cheque or Account Debit Letter / Certificate from the bankers.
4. One time Bank mandate (OTM) facilitates the investor to register a debit Mandate with his banker which will contain a pre-defined upper limit for the amount to be

debited from his bank account for every Purchase Transaction done. If the investor is making payment through OTM facility registered in the folio, then he must tick the relevant box and not attach any cheque along with the purchase request. The subscription amount will be debited from the bank account details as mentioned in the OTM facility opted by the investor.

#### V. TRANSACTION CHARGES

- 1) In accordance with SEBI Circular No. IMD/DF/13/2011 dated August 22, 2011, with effect from November 1, 2011, 360 ONE AMC/360 ONE MF shall deduct a Transaction Charge on per purchase / subscription of Rs. 10,000/- and above, as may be received from new investors (an investor who invests for the first time in any mutual fund schemes) and existing investors. The distributors shall have an option to "Opt-in / Optout" from levying transaction charge based on the type of product. Therefore, the "Opt-in/Opt-out" status shall be at distributor level, basis the product selected by the distributor at the Mutual Fund industry level. Such charges shall be deducted if the investments are being made through the distributor/ agent and that distributor / agent has opted to receive the transaction charges as mentioned below:

- For the new investor a transaction charge of Rs 150/- shall be levied for per purchase / subscription of Rs 10,000 and above; and
- For the existing investor a transaction charge of Rs 100/- shall be levied for per purchase / subscription of Rs 10,000 and above.

The transaction charge shall be deducted from the subscription amount and paid to the distributor/ agent, as the case may be and the balance shall be invested. The statement of account shall clearly state that the net investment as gross subscription less transaction charge and give the number of units allotted against the net investment.

In case of investments through Systematic Investment Plan (SIP) the transaction charges shall be deducted only if the total commitment through SIP (i.e. amount per SIP instalment x No. of instalments) amounts to Rs. 10,000/- and above. In such cases, the transaction charges shall be deducted in 3-4 instalments.

Transaction charges shall not be deducted if:

- (a) The amount per purchases /subscriptions is less than Rs. 10,000/-;
  - (b) The transaction pertains to other than purchases/ subscriptions relating to new inflows such as Switch/ STP/ TIDCW, etc.
  - (c) Purchases/Subscriptions made directly with the Fund through any mode (i.e. not through any distributor/agent).
  - (d) Subscription made through Exchange Platform irrespective of investment amount.
- 2) 360 ONE MF will execute necessary checks to ascertain, if the investor is an existing or a new investor to Mutual Fund. In case the investor is found to be an existing investor then transaction charges of Rs 100 will be applicable else the investor declaration will be considered, and transaction charges will be levied accordingly.
  - 3) If the investor has not ticked / not signed the declaration then by default investor will be treated as an existing investor and transaction charges of Rs 100 will be deducted for investment of Rs. 10000 and above.

#### VI. NOMINATION FOR UNITS HELD IN PHYSICAL MODE:

1. Multiple nominee (Resident, NRI, Including Minor) can be nominated. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
2. Nomination of an NRI is subject to requirements, if any, prescribed by RBI and SEBI from time to time.
3. Nomination can be changed at any time during the lifetime of the investment by the same persons who have made the nominations.
4. Unitholder being either parent or lawful guardian on behalf of a minor and an eligible institution, societies, bodies corporate, HUF, AoPs, BOLS and partnership firms shall have no right to make any nomination.
5. The nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, karta of HUF or power of attorney holder.
6. On registration of nomination a suitable endorsement shall be made on the statement of account or in the form of a separate letter.
7. The facility of nomination is available to a unitholder under SEBI (MFs) Regulations and guidelines issued by SEBI from time to time.
8. Nomination in respect of units stands rescinded, upon the transfer of units.
9. On cancellation of nominations, the nomination shall stand rescinded and 360 ONE MF shall not be under any obligation to transfer the units in favour of the nominee.
10. Where a nomination with respect to any of the unit has been made, the units shall, on the death of the unitholder(s), vest in the nominee and on compliance of necessary formalities the nominee shall be issued a SOA in respect of the units so vested subject to any charge or encumbrance over the said units. Nominee would be able to hold the units provided he is otherwise eligible to become a unitholder of the scheme.
11. Where there are two or more unitholders one of whom has expired the title to units shall vest in the surviving unitholder(s) who may retain the nomination or change or cancel the same. However, if there is no explicit intimation by surviving unitholder, on nomination, it shall be deemed to be the consent of surviving unitholder for the existing nomination.

12. Transmission made by the AMC as aforesaid, shall be a full discharge to the AMC from all liabilities in respect of the said units. For further details please refer SAI.
13. In case of a Zero Balance Folio Holder, nomination mentioned in Zero Balance Folio form shall be taken as default unless Scheme specific nomination has been made.
14. Where a folio has joint holders, all joint holders should sign the request for Nomination/cancellation of nomination, even if the mode of holding is not "joint"
15. Power of Attorney (POA) holder cannot sign the Nomination form.
16. Nomination is not allowed in a folio held on behalf of a minor.
17. Nomination is maintained at the folio level and not at the scheme level. If the investor fills in a fresh application form with new Nominee name than the same shall supersede the existing nominee details in the folio.
18. For joint nomination, upto 3 nominees are allowed, where allocation must add up to maximum 100%

#### VII. COMMUNICATION FOR THE INVESTORS:

In accordance with SEBI Circular No. Cir/IMD/DF/16/2011 dated September 8, 2011 and SEBI Circular no. CIR/MRD/DP/31/2014 dated November 12, 2014 the investor whose transaction has been accepted by the 360 ONE Asset Management Limited/360 ONE MF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the following procedure:

1. Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding.
2. The CAS shall be generated on a monthly basis and shall be issued on or before 15th of the immediately succeeding month to the unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month.
3. In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis on or before twenty first day of the succeeding month [at the end of every six months (i.e. September/ March)]
4. Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by email / physical mode.
5. Investors having MF investments and not having Demat account shall receive a Consolidated Account Statement from the MF Industry containing details of transactions across all Mutual Fund schemes by email / physical mode. The word 'transaction' shall include purchase, redemption, switch, payout of Income Distribution cum capital withdrawal option, reinvestment of Income Distribution cum capital withdrawal option, systematic investment plan, systematic withdrawal plan and systematic transfer plan transactions. CAS shall not be received by the Unit holders for the folio(s) wherein the PAN details are not updated. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN. For Micro SIP and Sikkim based investors whose PAN details are not mandatorily required to be updated Account Statement will be dispatched by 360 ONE MF for each calendar month on or before 15th of the immediately succeeding month.

In case of a specific request received from the Unit holders, 360 ONE MF will provide the account statement to the investors within 5 Business Days from the receipt of such request.

#### VIII. SEBI CIRCULAR OF JUNE 30, 2009 ON REMOVAL OF ENTRY LOAD

In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor

#### IX. BENEFITS

1. Mobile No.: Get alerts on the move for Purchase, IDCW or Redemption, SIP Debit alert after it reflects in your account or two days prior to SIP debits
2. E-Mail ID: The Account Statement will be e-mailed instantly to your registered email address as and when you transact with 360 ONE Mutual Fund.
3. IFSC/MICR Code: With 360 ONE E-IDCW you can have your IDCW credited in your account through the Electronic Clearing Service (ECS) / National Electronic Fund Transfer (NEFT).
4. As per the AMFI Best Practice Guidelines Circular No. 77/2018-19 dated March 20, 2019, it is suggested to provide Email ID/ Mobile number of investors in application form.
5. Primary holder should provide his/her own Email ID and Mobile Number for speed and ease of communication in a convenient and cost-effective manner and to prevent fraudulent transactions.
6. Investor is also requested to provide the consent, if Mobile No. and Email provided pertains to self, spouse, dependent children, dependent siblings, dependent parents, and a guardian in case of a minor.

#### X. MICRO INVESTMENT (INCLUDING LUMP SUM & MICRO SIP)

1. In line with SEBI letter no. OW/16541/2012 dated July 24, 2012 addressed to AMFI, Investments in the mutual fund schemes (including investments through Systematic Investment Plans (SIPs)) up to Rs. 50,000/- per investor per year shall be exempted from the requirement of PAN. Accordingly, for considering the

investments made by an investor up to Rs. 50,000/-, an aggregate of all investments including SIPs made by an investor in a Financial Year i.e. from April to March, shall be considered and such investors shall be exempted from the requirement of PAN. However, requirements of Know Your Customer (KYC) shall be mandatory and investors seeking the above exemption of PAN will need to submit the PAN Exempt KYC Reference No (PEKRN) acknowledgement issued by KRA along with the application form.

This exemption is applicable only for individuals including NRIs, minors acting through guardian, Sole proprietorship firms and joint holders. Other categories of investors e.g. PIOs, HUFs, QFIs, non - individuals, etc. are not eligible for such exemption.

Investors are requested to note that, in case where a lump sum investment is made during the financial year and subsequently a fresh SIP mandate request is given where the total investment for that financial year exceeds Rs. 50,000/-, such SIP application shall be rejected.

In case where a SIP mandate is submitted during the financial year and subsequently a fresh lumpsum investment is being made provided where the total investment for that financial year exceeds Rs. 50,000, such lump sum application will be rejected.

Redemptions if any, in the Micro Investment folio, shall not be considered for calculating the exemption limit for such financial year. Consolidation of folio shall be allowed only if the PEKRN in all folios is same along with other investor details.

2. In case the first Micro SIP instalment is processed and the application is found to be defective, the Micro SIP registration will be ceased for future instalments. No refunds to be made for the units already allotted. Investor will be sent a communication to this effect. However, redemptions shall be allowed.

#### XI. UNITS HELD IN THE DEMATERIALIZED FORM

1. With effect from October 1, 2011, in accordance with SEBI Circular No. IMD/DF/9/2011 dated May 19, 2011, an option to subscribe/hold the units of the Scheme(s)/Plan(s) of 360 ONE MF in dematerialized (demat) form is being provided to the investors in terms of the guidelines/ procedural requirements as laid by the Depositories (NSDL/CDSL) / Stock Exchanges (NSE / BSE) from time to time.
2. The Unit holders are given an Option to hold the units by way of an Account Statement (Physical form) or in Dematerialized ('Demat') form. Unit holders opting to hold the units in demat form must provide their Demat Account details in the specified section of the application form. The Unit holder intending to hold the units in Demat form are required to have a beneficiary account with the Depository Participant (DP) (registered with NSDL / CDSL as may be indicated by 360 ONE MF) and will be required to indicate in the application the DP's name, DP ID Number and the beneficiary account number of the applicant with the DP. Applicants must ensure that the sequence of names and other details like Client ID, Address and PAN details as mentioned in the application form matches that of the account held with the Depository Participant. Only those applications where the details are matched with the depository data will be treated as valid applications. If the details mentioned in the application are incomplete/incorrect, not matched with the depository data, then units will be allotted in the physical mode and an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to demat form.
3. Unit Holders opting the units in the DEMAT mode, can submit redemption/switch only through DP or through stock exchange platform.
4. Unit holders opting for investment in DEMAT mode cannot opt for facilities like STP, SWP, & Step-up.
5. In case, the Unit holder desires to hold the Units in a Dematerialized /Rematerialized form at a later date, the request for conversion of units held in non-demat form into DEMAT (electronic) form or vice-versa should be submitted along with a Demat/Remat Request Form to their Depository Participants.
6. Units held in DEMAT form will be transferable (except in case of Equity linked Savings Schemes).

#### XIII. ULTIMATE BENEFICIAL OWNERS(S) - Pursuant to SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and Guidelines on identification of Beneficial Ownership issued by SEBI vide its Circular No. CIR/MIRSD/2/2013 dated January 24, 2013, investors (other than Individuals) are required to provide details of 'Ultimate Beneficial Owner(s) (UBO(s))' and submit proof of identity (viz. PAN with photograph or any other acceptable proof of identity prescribed in common KYC form) of UBO(s). Further, the

Prevention of Money Laundering Rules, 2005 also require that every banking company, financial institution (including Mutual Funds) and intermediary, as the case may be, shall identify the beneficial owner. In case the investor or owner of the controlling interest is a company listed on a stock exchange or is a majority owned subsidiary of such a company, the details of shareholders or beneficial owners are not required to be provided. Non-individual applicants/investors are mandated to provide the details on 'Ultimate Beneficial Owner(s) (UBO(s))' by filling up the declaration form for 'Ultimate Beneficial Ownership'. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company listed on a stock exchange or is majority owned subsidiary of such a Company. Proof of Identity of the UBO such as name/s, Address & PAN/Passport together with self-attested copy\* along with the declaration form for 'Ultimate Beneficial Ownership' are required submitted to 360 ONE AMC/its RTA.

(\*Original to be shown for verification and immediate return).

#### XIV. a. Foreign Account Tax Compliance Act ("FATCA"): In accordance with the relevant provisions of the Foreign Account Tax Compliance Act ("FATCA") as contained in

the United States Hiring Incentives to Restore Employment ("HIRE") Act, 2010, there is a likelihood of withholding tax being levied on certain income/ receipt sourced from the subjects of United States of America ("US") with respect to the schemes, unless such schemes are FATCA compliant. In this regard, the respective governments of India and US have agreed on the principal terms of a proposed Inter-Governmental Agreement (IGA) and the same is likely to be executed in near future. In terms of this proposed IGA, 360 ONE Mutual Fund ("360 ONE MF") and/ or 360 ONE Asset Management Limited ("360 ONE AMC") are likely to be classified as a "Foreign Financial Institution" and in which case 360 ONE MF and/ or would be required, from time to time, to (a) undertake the necessary due-diligence process; (b) identify US reportable accounts; (c) collect certain required information/ documentary evidence ("information") with respect to the residential status of the unit holders; and (d) directly or indirectly disclose/report/ submit such or other relevant information to the appropriate US and Indian authorities. Such information may include (without limitation) the unit holder's folio detail, identity of the unit holder, details of the beneficial owners and controlling persons etc. In this regard and in order to comply with the relevant provisions under FATCA, the unit holders would be required to fully cooperate & furnish the required information to the AMC, as and when deemed necessary by the latter in accordance with IGA and/ or relevant circulars or guidelines etc, which may be issued from time to time by SEBI/AMFI or any other relevant & appropriate authorities. The applications which do not provide the necessary information are liable to be rejected. The applicants/ unit holders/ prospective investors are advised to seek independent advice from their own financial & tax consultants with respect to the possible implications of FATCA on their investments in the scheme(s).

- b. Details under FATCA/Foreign Tax Laws: Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in information provided, please ensure you advise us promptly, i.e., within 30 days. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number. Foreign Account Tax compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.
- c. If you are classified as a passive NFFE for FATCA purpose, please include in the section relating to Ultimate beneficial Owner (UBO), details of any specified US person having controlling interest in the foreign country information field along with your US Tax Identification Number for FATCA purposes. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.
- d. Declaration under FATCA
  - a) the information provided in the Form is in accordance with section 285BA of the Income Tax Act, 1961 read with Rules 114F to 114H of the Income tax Rules, 1962.
  - b) the information provided by me/us in the Form, its supporting Annexures as well as in the documentary evidence provided by me/us are, to the best of our knowledge and belief, true, correct and complete and that I/we have not withheld any material information that may affect the assessment/categorization of the account as a Reportable account or otherwise.
  - c) I/We permit/authorise the Company to collect, store, communicate and process information relating to the Account and all transactions therein, by the Company and any of its affiliates wherever situated including sharing, transfer and disclosure between them and to the authorities in and/or outside India of any confidential information for compliance with any law or regulation whether domestic or foreign.
  - d) I / We undertake the responsibility to declare and disclose within 30 days from the date of change, any changes that may take place in the information provided in the Form, its supporting Annexures as well as in the documentary evidence provided by us or if any certification becomes incorrect and to provide fresh self-certification along with documentary evidence.
  - e) I / We also agree that our failure to disclose any material fact known to us, now or in future, may invalidate our application and the Company would be within its right to put restrictions in the operations of my/our account or close it or report to any regulator and/or any authority designated by the Government of India (GOI) /RBI for the purpose or take any other action as may be deemed appropriate by the Company if the deficiency is not remedied by us within the stipulated period.
  - f) I / We hereby accept and acknowledge that the Company shall have the right and authority to carry out investigations from the information available in public domain for confirming the information provided by me / us to the Company.
  - g) It shall be my / our responsibilities to educate myself / ourself and to comply at all times with all relevant laws relating to reporting under section 285BA of the Act read with the Rules thereunder.
  - h) I/We also agree to furnish such information and/or documents as the Company may be required from time to time on account of any change in law either in India or abroad in the subject matter herein.
  - l) I/We shall indemnify the Company for any loss that may arise to the Company on a count of providing incorrect or incomplete information.





## SIP payment through NACH

- 1) The bank account provided for NACH/Auto Debit should be participating in MICR and NACH clearing respectively. List of banks is available on NPCI website [www.npci.org.in](http://www.npci.org.in).
  - 2) The investor agrees to abide by the terms and conditions of NACH/Auto Debit facility of Reserve Bank of India/Banks. 360 ONE Mutual Fund/ 360 ONE AMC, its registrars and other service providers shall not be held responsible or will not be liable for any damages and will not compensate for any loss, damage etc. incurred to the investor. The investor assumes the entire risk of using this facility and takes full responsibility. Investor will not hold 360 ONE AMC, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of Auto Debit/ local holidays.
  - 3) Investors are required to submit One Time Bank Mandate Form and SIP Enrollment Form along with a photocopy/cancelled cheque of Debit Bank Account (as mentioned on the One Time Bank Mandate Form) at least 30 days before the first SIP Installment date for NACH Debit.
  - 4) An investor can opt for Monthly, Quarterly, Weekly, or Fortnightly frequency for SIP. In case the investor has not specified the frequency then by default the frequency will be treated as Monthly. If an investor does not mention SIP start date appropriately, the SIP will by default start from the 7th of next month after meeting the minimum registration requirement of 30 days. Weekly and Fortnightly SIP frequencies are not available on BSE STAR MF platform.
  - 5) SIP start date should be within 6 months from the date of submission of SIP application for any frequencies. In case the SIP start date is more than 6 months from the date of submission, such SIP application shall be liable for rejection. If an investor does not mention SIP end date, appropriately the tenure of SIP will be treated as perpetual i.e. the end date shall be considered as December 2099. In case an investor, who has opted for Perpetual SIP, subsequently intends to discontinue the same, a written communication thereof will be required to be furnished.
  - 6) The investor can choose the SIP Dates from 1 to 28 of any given month for SIP registered through One Time Bank Mandate. If an investor does not mention SIP Date in the application form or multiple SIP dates are mentioned in the SIP Mandate or the SIP Date is unclear in the application form / SIP Mandate, the default SIP date shall be treated as 7th as per the frequency defined by the investor. In case the criteria are not met the SIP would start on the same date from the next month.
  - 7) For details about the Scheme and its facility please refer the SID, SAI & KIM, of the respective scheme/Addendum issued from time to time carefully before investing.
  - 8) In case of three consecutive failures due to insufficient balance in bank account while processing request for SIP, 360 ONE Mutual Fund shall reserve the right to terminate the SIP without any written request from the investor.
  - 9) For SIP through monthly option - ₹ 1,000/- per month for a minimum period of 6 months. For quarterly option - in Quant fund & Focused Equity Fund - ₹ 1,000/- per quarter for a minimum period of 6 quarters, in Dynamic Bond fund & Liquid Fund - ₹ 1,500/- per quarter for a minimum period of 4 quarters. For fortnightly option - ₹ 1,000/- per instalment for a minimum period of 6 fortnights. For weekly option - ₹ 1,000/- per week for a minimum period of 6 weeks. Investments above the minimum amount mentioned, shall be made in multiples of ₹ 1/- for all SIP irrespective of frequency of SIP or the Option. For 360 ONE ELSS NIFTY 50 TAX SAVER INDEX FUND minimum SIP amount is 500/- and in multiples of ₹ 500/-.
  - 10) Step-Up facility: Under this facility the Investor can increase the SIP installment (excluding MICRO SIP) at pre-defined intervals by a fixed amount. This facility is available for all categories of investors. For availing the said facilities, investors are required to note the following: 1. Investor willing to register Step-Up should provide the Step-Up details along with the SIP enrolment details. 2. The minimum amount for Step-Up facility is INR 100/- and in multiples of INR 100/-. For the 360 ONE ELSS NIFTY 50 TAX SAVER INDEX FUND minimum Step-Up amount is 500/- and in multiples of Rs 500/-. 3. Monthly SIP offers Step-Up frequency at half yearly and yearly intervals. Quarterly SIP offers Step-Up frequency at yearly interval only. This feature is not available for weekly & fortnightly option. In case STEP-UP frequency is not indicated, it will be considered as Yearly by default. 4. There should be clear indication about Step-Up limit amount i.e. the amount achieved by the SIP amount through Step-Ups. In case Step-Up amount is mentioned and Step-Up limit amount is not indicated, it will be considered as perpetual till the end of SIP enrolment period, by Default. 5. The date for STEP-UP Facility will correspond to the registered SIP. The enrolment period specified in the STEP-UP form should be less than or equal to the enrolment period mentioned in the SIP. Unit holders opting for investment in DEMAT mode cannot opt for Step-Up facility. This facility is not available on NSE MFSS/BSE STAR MF and MFU platform.
- 11) Modification/Cancellation of SIP : You can request for a modification / cancellation of your SIP at any time. Your modification/cancellation request should be submitted 30 days prior the next Auto Debit date. Any modification request should be accompanied by : (i) A new SIP duly filled in and carrying the revise SIP request details (ii) A written and signed confirmation for discontinuance of existing SIP.
  - 12) For MICRO SIP maximum SIP amount of ₹ 50,000/- per year per investor shall be exempt from the requirement of PAN
  - 13) SIP is available in selected schemes of 360 ONE Mutual Fund. The initial cheque should be drawn in favor of the "Name of The Scheme A/c. First Investor Name" or "Name of The Scheme A/c. Permanent Account Number" or "Name of The Scheme A/c. Folio Number" and marked "Account Payee" payable locally in the city where the application is submitted. Post dated or outstation cheques/ draft are not permitted. Application received with outstation cheque/ demand draft shall be rejected.
  - 14) Allotment of units would be subject to realization of credit.
  - 15) The Unit holders can choose to opt out from the SIP at any point of time by submitting a written request to the nearest investor service center. Such request for discontinuation should be received at least 30 days prior to the next due date of the SIP. On receipt of such a request, the SIP will be discontinued for the respective scheme(s).
  - 16) Existing unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) will be as per the existing Account.
  - 17) 360 ONE AMC reserves the right to reject any application without assigning any reason thereof. 360 ONE AMC in consultation with Trustees reserves the right to withdraw these offerings, modify the procedure, frequency, dates, load structure in accordance with the SEBI Regulations and any such change will be applicable only to units transacted pursuant to such change on a prospective basis
  - 18) If the investment is done on behalf of the minor then the guardian cannot undertake any financial and non-financial transactions including fresh registration of Systematic Investment Plan (SIP) and any other options, after the date of the minor attaining majority till the documents for the change in status are provided. In case of existing SIP registered prior to the minor attaining majority, the systematic investment will be continued to be processed beyond the date of the minor attaining majority and till the time a instruction from the major to terminate the SIP is received along with the required documents for change in the status of the folio.

# FATCA, CRS & ULTIMATE BENEFICIAL OWNERSHIP (UBO) SELF CERTIFICATION FORM FOR NON-INDIVIDUALS

(Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

Name of the entity

Type of address given at KRA  Residential or Business  Residential  Business  Registered Office

PAN  Date of Incorporation

City of incorporation  Country of incorporation

## FATCA & CRS DECLARATION

Please tick the applicable tax resident declaration -

1. Is "Entity" a tax resident of any country other than India  YES  NO

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Sr. No.	Country %	Tax Identification Number	Identification Type % (TIN or Other , please specify)
1.			
2.			
3.			

% In case Tax Identification Number is not available, kindly provide its functional equivalent.

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Intermediary Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. (United States) but Entity is not a Specified U.S. Person, mention Entity's exemption code here

### PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

1.  **We are a, Financial Institution**  
(Refer 1 of Part C)  
**OR**  
 **Direct Reporting NFE**  
(Refer 3(vii) of Part C)  
(Please tick as appropriate)

GIIN

Name of sponsoring entity

GIIN (of sponsoring Entity, if any)

GIIN not available (please tick as applicable)

Applied for  Not obtained – Non-participating FI

Not required to apply for - please specify 2 digits sub-category   (Refer 1 A of Part C)

### PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

1. Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) (Refer 2a of Part C)  
YES  (If yes, please specify any one stock exchange on which the stock is regularly traded)  
Name of stock exchange

2. Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) (Refer 2b of Part C)  
YES  (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)  
Name of listed company   
Nature of relation  Subsidiary of the Listed Company or  Controlled by a Listed Company  
Name of stock exchange

3. Is the Entity an active NFE (Refer 2c of Part C)  
YES   
Nature of Business   
Please specify the sub-category of Active NFE   (Mention code – refer 2c of Part C)

4. Is the Entity a passive NFE (Refer 3(ii) of Part C)  
YES   
Nature of Business

### UBO DECLARATION (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company)

Category (Please tick applicable category):

Unlisted Company  Partnership Firm Limited  Liability Partnership Company  Unincorporated association / body of individuals

Public Charitable Trust  Religious Trust  Private Trust  Others  please specify

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). (Please attach additional sheets if necessary)

Owner-documented FI's should provide FI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C).

**UBO DECLARATION (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company) (Contd.)**

Details	UBO 1	UBO 2	UBO 3																								
Name of UBO																											
UBO Code (Refer 3(iv) (A) of Part C)																											
Country of Tax residency*																											
PAN#																											
Address	_____ _____ _____ Zip _____ State: _____ Country: _____	_____ _____ _____ Zip _____ State: _____ Country: _____	_____ _____ _____ Zip _____ State: _____ Country: _____																								
Address Type	<input type="checkbox"/> Residence <input type="checkbox"/> Registered office <input type="checkbox"/> Business	<input type="checkbox"/> Residence <input type="checkbox"/> Registered office <input type="checkbox"/> Business	<input type="checkbox"/> Residence <input type="checkbox"/> Registered office <input type="checkbox"/> Business																								
% Tax ID																											
Tax ID Type																											
City of Birth																											
Country of birth																											
Address Type																											
Occupation Type	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____																								
Nationality																											
Father's Name																											
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others																								
Date of Birth																											
Percentage of Holding (%)\$	<table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y	<table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y	<table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y																				
D	D	M	M	Y	Y	Y	Y																				
D	D	M	M	Y	Y	Y	Y																				

\* To include US, where controlling person is a US citizen or green card holder.

#If UBO is KYC compliant, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position / Designation like Director / Settlor of Trust / Protector of Trust to be specified wherever applicable.

%In case Tax Identification Number is not available, kindly provide functional equivalent.

\$Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary.

**UBO DECLARATION (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company) (Contd.)**

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

**Certification**

I/We have read and understood the information requirements and the Terms and Conditions mentioned in this Form (read alongwith the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I/We hereby agree and confirm to inform 360 ONE Asset Management Limited/360 ONE Mutual Fund/ Trustees for any modification to this information promptly. I/We further agree to abide by the provisions of the Scheme related documents inter alia provisions on 'Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) on Automatic Exchange of Information (AEOI)'.

Name  Designation

Date 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 Place

Signature	Signature	Signature
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**1. Financial Institution (FI)**

The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution: is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where its income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of-
  - (i) The three financial years preceding the year in which determination is made; or
  - (ii) The period during which the entity has been in existence, whichever is less.
- Investment entity is any entity:
  - ✓ That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
    - (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
    - (ii) Individual and collective portfolio management; or
    - (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;
  - or
  - ✓ The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of :

  - (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
  - (ii) The period during which the entity has been in existence. The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 (refer point 2c.)
- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.
- FI not required to apply for GIIN:

**A. Reasons why FI not required to apply for GIIN:**

Code	Sub-category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers & Executing Brokers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI

**2. NON-FINANCIAL ENTITY (NFE) - Entity that is not a financial institution**

Types of NFEs that are regarded as excluded NFE are:

**a. Publicly traded company (listed company)**

A company is publicly traded if its stock are regularly traded on one or more established securities markets

(Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

**b. Related entity of a publicly traded company**

The NFE is a related entity of an entity of which is regularly traded on an established securities market;

**c. Active NFE : (is any one of the following):**

Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;

## 2. NON-FINANCIAL ENTITY (NFE) - Entity that is not a financial institution (Contd.)

06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	<p>Any NFE that fulfills all of the following requirements:</p> <ul style="list-style-type: none"> <li>• It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;</li> <li>• It is exempt from income tax in India;</li> <li>• It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;</li> </ul> <p>The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.</p> <p>Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:-</p> <ul style="list-style-type: none"> <li>(i) an Investor Protection Fund referred to in clause (23EA);</li> <li>(ii) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and</li> <li>(iii) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;</li> </ul>

## 3. OTHER DEFINITIONS

(i) **Related entity**

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

(ii) **Passive NFE** The term passive NFE means

- (1) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or
- (2) an investment entity defined in clause (1) of these instructions
- (3) a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

(iii) **Passive income** The term passive income includes income by way of :

- (1) IDCWs Policy,
- (2) Interest
- (3) Income equivalent to interest,
- (4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE
- (5) Annuities
- (6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
- (7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,
- (8) The excess of foreign currency gains over foreign currency losses
- (9) Net income from swaps
- (10) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) **Controlling persons**

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005. In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

As per SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 regarding Client Due Diligence policy, related circulars on anti-money laundering and SEBI circular No. CIR/MIRSD/2/2013 dated January 24, 2013, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOS. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

**For Investors other than individuals or trusts:**

- (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:
  - more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
  - more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership.
  - more than 10% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
- (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.

Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

(A) **Controlling Person Type (UBO):**

UBO	Code	Sub-category
01	CP of legal person-ownership	
02	CP of legal person-other means	
03	CP of legal person-senior managing official	
04	CP of legal arrangement-trust-settlor	

## 3. OTHER DEFINITIONS (CONTD.)

05	CP of legal arrangement-trust-trustee
06	CP of legal arrangement-trust-protector
07	CP of legal arrangement-trust-beneficiary
08	CP of legal arrangement-trust-other
09	CP of legal arrangement-Other-settlor equivalent
10	CP of legal arrangement-Other-trustee equivalent
11	CP of legal arrangement-Other-protector equivalent
12	CP of legal arrangement-Other-beneficiary equivalent
13	CP of legal arrangement-Other-other equivalent

**(v) Specified U.S. person – A U.S. person other than the following:**

- (1) a corporation the stock of which is regularly traded on one or more established securities markets;
- (2) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (3) the United States or any wholly owned agency or instrumentality thereof;
- (4) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (5) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (6) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (7) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (8) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (9) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (10) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (11) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- (12) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- (13) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

**(vi) Owner documented FI****An FI meets the following requirements:**

- (a) The FI is an FI solely because it is an investment entity;
- (b) The FI is not owned by or related to any FI that is a depository institution, custodial institution, or specified insurance company;
- (c) The FI does not maintain a financial account for any non participating FI;
- (d) The FI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and
- (e) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FI that holds its interest through a participating FI, a deemed-compliant FI (other than an owner-documented FI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

**(vii) Direct reporting NFE**

A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

**(viii) Exemption code for U.S. persons**

Code	Sub-category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
B	The United States or any of its agencies or instrumentalities
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(I)
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(I)
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 581
K	A broker
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
M	A tax exempt trust under a section 403(b) plan or section 457(g) plan

# Declaration Form of Non-Profit Organization (NPO)

(Mandatory for Trusts/Society/ Section 8 Company)

Investor Name

PAN

- I/We hereby confirm that above stated entity / organization is falling under "Non-profit organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).
- I/We hereby confirm that above stated entity / organization is NOT falling under Non-profit organization as defined above or in PMLA Act/Rules thereof.

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it. I/We hereby authorize you [CAMS/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries or any other statutory authorities to facilitate single submission / update & for regulatory purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

Signature with relevant seal:

Authorized Signatory	Authorized Signatory	Authorized Signatory
----------------------	----------------------	----------------------

Place:

Date:

## AMC OFFICES

Mumbai: 360 ONE Asset Management Limited (Formerly known as IIFL Asset Management Limited), 360 ONE Centre, 6th Floor, Kamala City, Senapati Bapat Marg, Lower Parel, Mumbai - 400 013.

## CAMS COLLECTION CENTERS

Ahmedabad: 111- 113, 1st Floor-Devpath Building Off C G Road Behind Lal Bungalow, Ellis Bridge, Ahmedabad Gujarat 380006 Bangalore: Trade Centre, 1st Floor45, Dikensen Road ( Next to Manipal Centre ), Bangalore, Karnataka, 560042 Bhubaneswar: Plot No -111, Varaha Complex Building3rd Floor, Station Square, Kharnvel Nagar, Unit 3-Bhubaneswar-Orissa-751001 Chandigarh: Deepak TowerSCO 154-155, 1st Floor-Sector 17-Chandigarh-Punjab-160017 Chennai: Ground Floor No.178/10, Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam-Chennai-Tamilnadu-600034 Cochin: CAMS SERVICE CENTER, Building Name Modayil, Door No. 39/2638 DJ, 2nd Floor 2A M.G. Road, Cochin - 682 016 Coimbatore: CAMS SERVICE CENTRE, No.1334, Thadagam Road, Thirumurthy Layout, R.S.Puram, Behind Venketeswara Bakery, Coimbatore-641002 Durgapur: CAMS SERVICE CENTRE, Plot No.3601, Nazrul Sarani, City Centre, Durgapur-713216 Goa: CAMS SERVICE CENTER, Office No.103, 1st Floor, Unitech City Centre, M.G.Road, Panaji Goa, Goa-403001 Secunderabad (Hyderabad): 208, II FloorJade ArcadeParadise Circle, Hyderabad, Telangana, 500003 Indore: 101, Shalimar Corporate Centre, 8-B, South Tukogunj, Opp.Greenpark, Indore, MadhyaPradesh, 452001 Jaipur: R-7, Yudhisthir Marg C-SchemeBehind Ashok Nagar Police Station, Jaipur, Rajasthan, 302001 Kanpur: I Floor 106 to 108City Centre Phase II, 63/ 2, The Mall Kanpur Uttarpradesh-208001 Kolkata: CAMS SERVICE CENTER, 2/1, Russell Street, 2nd Floor, Kankaria Centre, Kolkata-700071 Lucknow: CAMS SERVICE CENTER, Office No.107, 1st Floor, Vaisali Arcade Building, Plot No 11, 6 Park Road, Lucknow-226001 Ludhiana: U/ GF, Prince Market, Green Field, Near Traffic Lights, Sarabha Nagar Pulli, Pakhowal Road, Ludhiana, Punjab, 141002 Madurai: Shop No 3 2nd Floor Surya Towers, No 272/273 Goodshed Street, Madurai - 625001 Mangalore: No. G 4 & G 5, Inland MonarchOpp. Karnataka Bank Kadri Main Road, Kadri, Mangalore, Karnataka, 575003 Mumbai: Rajabahdur Compound, Ground FloorOpp Allahabad Bank, Behind ICICI Bank30, Mumbai Samachar Marg, FortMumbai, Maharashtra, 400023 Nagpur: 145, Lendra, New Ramdaspath, Nagpur, Maharashtra, 440010 New Delhi: 7-E, 4th FloorDeen Daya Research Institute Building Swami Ram Tirath Nagar, Near Videocon Tower Jhandewalan Extension, New Delhi, NewDelhi, 110055 Patna: G-3, Ground Floor, OM ComplexNear Saket Tower, SP Verma Road, Patna, Bihar, 800001 Pune: CAMS SERVICE CENTER, Vartak Pride, 1st Floor, Survey No.46, City Survey No.1477, Hingne budruk, D.P.Road, Behind Dinanath mangeshkar Hospital, Karvenagar, Pune-411052 Surat: CAMS SERVICE CENTRE, Shop No.G-5, International Commerce Center, Nr.Kadiwala School, Majura Gate, Ring Road, Surat-395002 Vadodra: 103 Aries Complex, Bpc Road, Off R.C.Dutt Road, Alkapuri, Vadodra, Gujarat, 390007 Vijayawada: 40-1-68, Rao & Ratnam Complex, Near Chennupati Petrol Pump, M.G Road, Labbipet, Vijayawada, AndhraPradesh, 520010 Visakhapatnam (Vizag): CAMS SERVICE CENTRE, Door No 48-3-2, Flat No 2, 1st Floor, Sidhi Plaza, Near Visakha Library, Srinagar, Visakhapatnam- 530 016 Agra: No. 8, II Floor Maruti Tower Sanjay Place, Agra, Uttarpradesh-282002 Ajmer: AMC No. 423/30 Near ChurchOpp T B Hospital, Jaipur Road, Ajmer, Rajasthan, 305001 Allahabad: 30/2, A&B, Civil Lines Station Besides, Vishal Mega Mart Strachey Road, Allahabad, Uttarpradesh-211001 Alwar: 256A, Scheme No:1, Arya Nagar, Alwar, Rajasthan, 301001 Amaravati: 81, Gulshan Tower, 2nd Floor, Near Panchsheel Talkies, Amaravati, Maharashtra, 444601 Amritsar: CAMS SERVICE CENTER, 3rd Floor, Bearing Unit No-313, Mukut House, Amritsar-143001 Anand: 101, A.P. Tower, B/H, Sardhar Gunj, Next to Nathwani Chambers, AnandGujarat388001 Asansol: Block - G 1st Floor, P C Chatterjee Market Complex Rambandhu Talab PO, Ushagram Asansol Westbengal Pin No 713303 Aurangabad: CAMS SERVICE CENTRE, 2nd Floor, Block No.D-21-D-22, Motiwala Trade Centre, Nirala Bazar, New Samarth Nagar, Opp.HDFC Bank, Aurangabad-431001 Belgaum: CAMS SERVICE CENTRE, Classic Complex, Block No.104, 1st Floor, Saraf Colony, Khanapur Road, Tilakwadi, Belgaum-590006 Berhampur: CAMS SERVICE CENTER, Kalika temple Street, Ground Floor, Beside SBI BAZAR Branch, Berhampur-760002 Bhavnagar:501 - 503, Bhayani Skyline, Behind Joggers Park, Atabhai Road,, BhavnagarGujarat364001 Bhilai: CAMS SERVICE CENTRE, 1st Floor, Plot No.3, Block No.1, Priyadarshini Pariswar west, Behind IDBI Bank, Nehru Nagar, Bhilai-490020 Bhilwara: C/o Kodwani Associates Shoppe No 211-213 2nd floor Indra Prasth Tower syam Ki Sabji Mandi Near Mukerjee Garden Bhilwara-311001 (Rajasthan) Bhopal: Plot no 10, 2nd Floor, Alankar Complex, Near ICICI Bank, MP Nagar, Zone II, Bhopal, MadhyaPradesh462011 Bokaro: Mazzanine FloorF-4, City Centre, Sector 4, Bokaro Steel City, Bokaro, Jharkhand, 827004 Burdwan: CAMS SERVICE CENTER, No.399, G T Road, Basement, Talk of the town, , BurdwanWestbengal713101 Calicut: 29/97G 2nd Floor, S A Arcade, Mavoor Road, Arayidathupalam, CalicutKerala-673016 Cuttack: Near Indian Overseas BankCantonment Road, Mata Math, Cuttack, Orissa, 753001 Davangere: 13, 1st Floor, Akkamahadevi Samaj ComplexChurch Road, P.J.Extension, Davangere, Karnataka, 577002 Dehradun: 204/121 Nari Shilp Mandir Marg(Ist Floor) Old Connaught Place, Chakrata Road, Dehradun, Uttarakhand, 248001 Dhanbad: Urmila Towers, Room No: 111(1st Floor) Bank More, Dhanbad, Jharkhand, 826001 Erode: 197, Seshaiyer Complex, Agraharam Street, Erode, Tamilnadu, 638001 Faridabad: B-49, 1st Floor, Nehru Ground, Behind Anupam Sweet House NIT, Faridabad, Haryana, 121001 Ghaziabad: CAMS SERVICE CENTER, 1st Floor, C-10 RDC Rajnagar, Opp Kacheri Gate No.2, Ghaziabad-201002 Gorakhpur: CAMS SERVICE CENTRE, Shop No.5 & 6, 3rd Floor, Cross Road The mall, A D Tiraha, bank Road, Gorakhpur-273001 Guntur: CAMS SERVICE CENTER, Door No.31-13-1158, 1st floor, 13/1, Arundelpet, Ward No.6, Guntur-522002 Gurgaon: SCO - 16, Sector - 14, First floor, Gurgaon, Haryana, 122001 Guwahati: CAMS SERVICE CENTRE, Piyali Phukan Road, K.C.Path, House No.1, Rehabori, Guwahati-781008 'Gwalior: G-6 Global Apartment, Kailash Vihar Colony, Opp. Income Tax Office, City Centre Gwalior Madhya Pradesh-474002' Hubli: No.204 - 205, 1st Floor B' Block, Kundagol ComplexOpp. Court, Club Road, Hubli, Karnataka, 580029 Jabalpur: 8, Ground Floor, Datt Towers, Behind Commercial Automobiles, Napier Town, Jabalpur, MadhyaPradesh, 482001 Jalandhar: CAMS SERVICE CENTER, 144, Vijay Nagar, Near Capital Small Finance Bank, Football Chowk, Jalandar City-144001 Jalgaon: Rustomji Infotech Services70, NavipethOpp. Old Bus StandJalgaon, Maharashtra, 425001 Jamnagar: 207, Manek Centre, P N Marg, Jamnagar, Gujarat, 361001 Jamshepur: Millennium Tower, "R" Road, Room No:15 First Floor, Bistupur, Jamshepur, Jharkhand, 831001 Jodhpur: 1/5, Nirmal Tower, 1st Chopasani Road, Jodhpur, Rajasthan, 342003 Kolhapur: 2 B, 3rd Floor, Ayodhya Towers, Station Road, Kolhapur, Maharashtra, 416001 Kota: B-33 'Kalyan Bhawan, Triangle Part, Vallabh Nagar, Kota, Rajasthan, 324007 Kottayam: CAMS SERVICE CENTER, 1307 B, Puthenparambil Building, KSACS Road, Opp.ESIC Office, Behind Malayala Manorama Muttambalam P O, Kottayam-686501 Meerut: 108 Ist Floor Shivam Plaza, Opp: Eves Cinema, Hapur Road, Meerut, Uttarpradesh, 250002 Moradabad: H 21-22, 1st Floor, Ram Ganga Vihar Shopping Complex, Opposite Sale Tax Office, Moradabad-244001 Muzaffarpur: Brahman Toli, DurgasthanGola Road, Muzaffarpur, Bihar, 842001 Mysore: No.1, 1st Floor, CH.26 7th Main, 5th Cross (Above Trishakthi Medicals), Saraswati Puram, Mysore, Karnataka, 570009 Nasik: CASM SERVICE CENTRE, 1st Floor, "Shradha Niketan", Tilak Wadi, Opp Hotel City Pride, Sharanpur Road, Nasik-422002 Nellore: 97/56, I Floor, Immadisetty TowersRanganayakulapet Road, Santhapet, Nellore, AndhraPradesh, 524001 Panipat: SCO 83-84, First Floor, Devi Lal Shopping Complex, Opp RBL Bank, G.T.Road, Panipat, Haryana, 132103 Patiala: CAMS SERVICE CENTRE, No.35 New Lal Bagh, Opp.Polo Ground, Patiala-147001 Pondicherry: S-8, 100, Jawaharlal Nehru Street(New Complex, Opp. Indian Coffee House), Pondicherry, Pondicherry, 605001 Raipur: HIG, C-23 Sector - 1Devendra Nagar, Raipur, Chattisgarh, 492004 Rajahmundry: Door No: 6-2-12, 1st Floor, Rajeswari Nilayam, Near Vamsikrishna Hospital, Nyapathi Vari Street, T Nagar, Rajahmundry, AndhraPradesh, 533101 Rajkot: Office 207 - 210, Everest BuildingHarihar ChowkOpp Shastri Maidan, Limda Chowk, Rajkot, Gujarat, 360001 Ranchi: 4, HB RoadNo: 206, 2nd Floor Shri Lok ComplexH B Road Near Firayalal, Ranchi, Jharkhand, 834001 Rourkela: CAMS SERVICE CENTRE, 2nd Floor, J B S Market Complex, Udit Nagar, Rourkela-769012 Salem: No.2, I Floor Vivekananda Street, New Fairlands, Salem, Tamilnadu, 636016 Sambalpur: C/o Raj Tibrewal & Associates, Opp.Town High School, Sansarak Sambalpur, Orissa, 768001 Siliguri: CAMS SERVICE CENTER, No.78, Haren Mukherjee Road, 1st Floor, Beside SBI Hakimpara, Siliguri-734001 Tirupur: (11), Binny Compound, II Street, Kumaran Road, Tirupur, Tamilnadu, 641601 Tirunelveli: CAMS SERVICE CENTRE, No.F4, Magnam Suraksaa Apartments, Tiruvananthapuram Road, Tirunelveli-627002 Trichur: Room No. 26 & 27Dee Pee Plaza, Kokkalai, Trichur, Kerala, 680001 Trichy: No 8, I Floor, 8th Cross West Extn, Thillainagar, Trichy, Tamilnadu, 620018 Trivandrum: R S Complex, Opp of LIC Building, Pattom PO, Trivandrum, Kerala, 695004 Udaipur: CAMS SERVICE CENTRE, No.32, Ahinsapuri, Fatehpura Circle, Udaipur-313001 Valsad: 3rd floor, Gita Nivas, opp Head Post Office, Halar Cross LaneValsad, Gujarat, 396001 Varanasi: Office no 1, 2nd floor, Bhawani Market, Building No. D-58/2-A1, Rathyatra Beside Kuber Complex, Varanasi, Uttarpradesh-221010 Vellore: CAMS SERVICE CENTRE, AKT Complex, 2nd Floor, No.1, 3, New Sankaranpalayam Road Tolgate, Vellore-632001 Warangal: Hno. 2-4-641, F-7, 1st Floor, A.B.K Mall, Old Bus Depot Road, Ramnagar, Hanamkonda, Warangal.Telangana- 506001 Balasore: B C Sen Road, Balasore, Orissa, 756001 Jammu: JRDS Heights, Lane Opp. S&S Computers Near RBI Building, Sector 14, Nanak Nagar Jammu, Jammu &Kashmir, 180004 Ballari: CAMS SERVICE CENTER, No.18/47/A, Govind Nilaya, Ward No.20, Sangankal Moka Road, Gandhinagar, Ballari-583102 'Navsari: CAMS Service Centre, 214-215, 2nd floor, Shivani Park, Opp.Shankheshwar Complex, Kaliawadi, Navsari -396445, Gujarat' Rohtak: CAMS SERVICE CENTRE, SCO O6, Ground Floor, MR Complex, Near Sonipat Stand Delhi Road, Rohtak-124001 Tirupati: Shop No : 6, Door No: 19-10-8, (Opp to Passport Office), AIR Bypass Road, Tirupati-517501, AndhraPradesh 'Kalyani: A - 1/50, Block A Kalyani - Nadia Dt, PIN- 741235 ' Bhuj: CAMS SERVICE CENTRE, Office No.4-5, First Floor, RTO Relocation Commercial Complex-B, Opp.Fire Station, Near RTO Circle, Bhuj-Kutch-370001 Solapur: Flat No 109, 1st FloorA Wing, Kalyani Tower126 Siddheshwar Peth, Near Pangal High SchoolSolapur, Maharashtra, 413001 Junagadh: 'Aastha Plus', 202-A, 2nd FloorSardarbag Road, Nr. AlkapuriOpp. Zanki Rani Statue, Junagadh Gujarat-362001 Ankleshwar: Shop No - F -56First Floor, Omkar ComplexOpp Old Colony, Nr Valia Char Rasta, GIDC, Ankleshwar, Gujarat, 393002 Kollam: CAMS SERVICE CENTRE, Uthram Chanmbers(Ground Floor), Thamarakulam, Kollam-691006 Jhansi: No.372/18D, 1st Floor Above IDBI Bank, Beside V-Mart, Near RAKSHAN, Gwalior Road, Jhansi-284001 Aligarh: City Enclave, Opp. Kumar Nursing Home Ramghat Road Aligarh Uttarpradesh-202001 Satara: 117 / A / 3 / 22, Shukrawar Peth, Sargam Apartment, Satara, Maharashtra, 415002 Kumbakonam: Jailani Complex47, Mutt Street, Kumbakonam, Tamilnadu, 612001 Bhagalpur: CAMS SERVICE CENTRE, Ground Floor, Gurudwara Road, Near Old Vijaya Bank, Bhagalpur - 812001 Bareilly: CAMS SERVICE CENTER, F-62-63, 2nd Floor, Butler Plaza Commercial Complex Civil Lines Bareilly Uttarpradesh-243001 Akola: Opp. RL



## CAMS COLLECTION CENTERS (Contd.)

Science College Civil Lines, Akola, Maharashtra, 444001 Yamuna Nagar: 124-B/R, Model Town Yamunanagar, Yamuna Nagar, Haryana, 135001 Deoghar: S S M Jalan Road Ground floor Opp. Hotel Ashoke, Caster Town, Deoghar, Jharkhand, 814112 Karimnagar: H.No.7-1-257, Upstairs S B H mangammathota, Karimnagar, Telangana, 505001 Kadapa: Bandi Subbaramaiah Complex, D.No:3/1718, Shop No: 8, Raja Reddy Street, Kadapa, Andhra Pradesh, 516001 Shimla: I Floor, Opp. Panchayat Bhawan Main gate Bus stand, Shimla, Himachal Pradesh, 171001 Kannur: Room No.PP.14/435 Casa Marina Shopping Centre Talap, Kannur, Kerala, 670004 Mehsana: 1st Floor, Subhadra Complex Urban Bank Road Mehsana, Gujarat, 384002 Hazaribag: Municipal Market Annanda Chowk, Hazaribag, Jharkhand, 825301 Anantapur: 15-570-33, I Floor Pallavi Towers, Subash Road Opp. Canara Bank, Anantapur, Andhra Pradesh, 515001 Kurnool: CAMS SERVICE CENTRE, Shop No.26 and 27, Door No.39/265A and 39/265B, Second Floor, Skanda Shopping Mall, Old Chad Talkies, Vaddageri, 39th Ward, Kurnool-518001 Hisar: CAMS SERVICE CENTRE, No-12, Opp. HDFC Bank, Red Square Market, Hisar, Haryana, 125001 Sri Ganganagar: 18 L Block Sri Ganganagar, Rajasthan, 335001 Bhatinda: 2907 GH, GT Road, Near Zila Parishad, Bhatinda, Punjab, 151001 Shimoga: No.65 1st Floor Kishnappa Compound 1st Cross, Hosmane Extn, Shimoga, Karnataka, 577201 Palakkad: 10 / 688, Sreedevi Residency, Mettupalayam Street, Palakkad, Kerala, 678001 Margao: CAMS SERVICE CENTRE, F4-Classic Heritage, Near Axis Bank, Opp. BPS Club, Pajifond, Margao, Goa-403601 Karur: 126 G, V.P.Towers, Kovai Road, Basement of Axis Bank Karur, Tamilnadu, 639002 Bikaner: Behind rajasthan patrika in front of vijaya bank 1404, amar singh pura Bikaner.334001 Kakinada: CAMS SERVICE CENTRE, D No.25-4-29, 1st floor, Kommireddy vari street, Beside Warf Road, Opp swathi medicals, Kakinada-533001 Bilaspur: CAMS SERVICE CENTRE, Shop No.B-104, First Floor, Narayan Plaza, Link Road, Bilaspur(C.G.)-495001 Vapi: 208, 2nd Floor HEENA ARCADE, Opp. Tirupati Tower Near G.I.D.C. Char Rasta, Vapi, Gujarat, 396195 Ambala: CAMS SERVICE CENTRE, SCO 48-49, Ground Floor, opp peer, Bal Bhawan Road, Near HDFC Bank, Ambala City, Haryana - 134 003 Agartala: Advisor Chowmuhani (Ground Floor), Krishnanagar Agartala, Tripura, 799001 Saharanpur: I Floor, Krishna Complex Opp. Hathi Gate Court Road, Saharanpur, Uttar Pradesh, 247001 Kharagpur: CAMS SERVICE CENTRE, "Silver Palace" OT Road, Inda-Kharagpur, G-P-Barakola, P.S.Kharagpur Local, Dist West Midnapore-721305 Tiruvalla: CAMS SERVICE CENTER, 1st Floor, Room No-61(63), International shopping Mall, Opp. ST Thomas Evangelical Church, Above Thomsan Bakery, Manjady, Thiruvalla-689105 "Alleppey: Doctor's Tower Building, Door No. 14/2562, 1st floor, North of Iorn Bridge, Near Hotel Arcadia Regency, Alleppey Kerala, 688001" Noida: CAMS SERVICE CENTER, Commercial Shop No.GF 10 & GF 38, Ground Floor, Ansal Fortune Arcade, Plot No. K-82, Sector -18,, Noida-201301 Thane: CAMS SERVICE CENTER, Dev Corpora, 1st Floor, Office No.102, Cadbury Junction, Eastern Express Way, Thane-400601 Andheri: CAMS Pvt Ltd, No.351, Icon, 501, 5th Floor, Western Express Highway, Andheri East, Mumbai-400069 Sangli: Jiveshwar Krupa Bldg Shop. NO.2, Ground Floor, Tilak Chowk Harbhat Road, Sangli, Maharashtra-416416 Jalna: Shop No 6, Ground Floor, Anand Plaza Complex, Bharat Nagar, Shivaji Putla Road, Jalna, Maharashtra, 431203 Ghatkopar: CAMS SERVICE CENTRE, Platinum Mall, Office No.307, 3rd Floor, Jawahar Road, Ghatkopar East, Mumbai-400077 Borivali: CAMS PVT LTD, Hirji Heritage, 4th Floor, Office No.402, L.T.Road, Borivali, Mumbai-400092 Vashi: CAMS SERVICE CENTRE, BSEL Tech Park, B-505, Plot No.39/5 & 39/5A, Sector 30A, Opp. Vashi Railway Station Vashi, Navi Mumbai-400705 Pitampura: CAMS SERVICE CENTRE, Number G-8, Ground Floor, Plot No C-9, Pearls Best Height - II, Netaji Subhash Place, Pitampura-110034 Tambaram: CAMS SERVICE CENTER, 3rd Floor, B R Complex, No.66, Door No.11A, Ramakrishna Iyer Street, Opp. National Cinema Theatre, West Tambaram, Chennai-600045 Janakpuri: CAMS Service Centre Office Number 112, 1st Floor Mahatta Tower, B Block Community Centre, Janakpuri, New Delhi - 110058 Bangalore (Wilson Garden): CAMS SERVICE CENTER, First Floor, No.17/1, -(272) 12Th Cross Road, Wilson Garden, Bangalore-560027 Bharuch: CAMS SERVICE CENTRE, A-111, First Floor, R K Casta, Behind Patel Super Market, Station Road, Bharuch-392001 Karnal: No.29, Avtar Colony, Behind vishal mega mart, Karnal-132001 Mapusa (Parent ISC : Goa): CAMS COLLECTION CENTRE, Office No.503, Buildmore Business Park, New Canca By pass Road, Ximer, Mapusa Goa-403507 Nadiad: F 134, First Floor, Ghantakama Complex Gunj Bazar, Nadiad, Gujarat, 387001 Bhusawal (Parent: Jalgaon TP): 3, Adelade Apartment, Christain Mohala, Behind Gulshan-E-Iran Hotel, Amardeep Talkies Road, Bhusawal, Maharashtra, 425201 Gondal (Parent Rajkot): A/177, Kailash Complex Opp. Khedut Decor Gondal, Gujarat, 360311 Vasco (Parent Goa): No DU 8, Upper Ground Floor, Behind Techoclean Clinic, Suvridha Complex Near ICICI Bank, Vasco, Goa, 403802 New Delhi-CC: Flat no.512, Narian Manzil, 23 Barakhamba Road Connaught Place, New Delhi, 110001 Kolkata-CC (Kolkata Central): 2A, Ganesh Chandra Avenue-Room, No.3A, Commerce House"(4th Floor), Kolkata, Westbanganl 700013 Chennai-Satellite ISC: No.158, Rayala Tower-1, Anna salai, Chennai-600002 Ahmednagar: CAMS SERVICE CENTER, Office No.3.1st Floor, Shree Parvati, Plot No.1/175, Opp. Mauli Sabhagruh, Zopadi Canteen, Savedi, Ahmednagar-414003 "Basti: CAMS C/O RAJESH MAHADEV & CO SHOP NO 3, 1st Floor JAMIA COMLEX STATION ROAD BASTI PIN 272002" Chhindwara: CAMS SERVICE CENTER, 2nd Floor, Parasia Road, Near Surya Lodge, Sood Complex, Above Nagpur CT Scan, Chhindwara, Madhya Pradesh 480001 Chittorgarh: 3, Ashok Nagar, Near Heera Vatika, Chittorgarh, Rajasthan 312001 Darbhanga: Ground Floor, Belbhadrapur, Near Sahara Office, Laheriasarai Tower Chowk, Laheriasarai, Darbhanga- 846001. Dharmapuri: 16A/63A, Pidamaneri Road, Near Indoor Stadium, Dharmapuri, Tamilnadu 636701 Dhule: House No 3140, Opp Liberty Furniture, Jammalal Bajaj Road, Near Tower Garden, Dhule, Maharashtra 424001 Faizabad: CAMS SERVICE CENTRE, 1/13/196, A, Civil Lines, Behind Tripati Hotel, Faizabad, Uttarpradesh-224001 Gandhidham: CAMS SERVICE CENTER, Shyam Sadan, First Floor, Plot No.120, Sector 1/A, Gandhidham-370201 Gulbarga: Pal Complex, 1st Floor, Opp. City Bus Stop, SuperMarket, Gulbarga, Karnataka 585101 Haldia: MOUZA-BASUDEVPUR, J.L. NO. 126, Haldia Municipality, Ward No 10, Durgachak, Haldia - 721602 Haldwani: Durga City Centre, Nainital Road, Haldwani, Uttarakhand-263139 Himmatnagar: D-78, First Floor, New Durga Bazar, Near Railway Crossing, Himmatnagar, Gujarat 383001 Hoshiarpur: Near Archies Gallery, Shimla Pahari Chowk, Hoshiarpur, Punjab 146001 Hosur: CAMS SERVICE CENTER, Survey No.25/204, Attibele Road, HCF Post, Mathigiri, Above Time Kids School, Oppsite To Kuttys Frozen Foods, Hosur-635110 Jaunpur: 248, Fort Road Near Amber Hotel, Jaunpur Uttarpradesh-222001 Katni: 1st Floor, Gurunanak dharmakanta, Jabalpur Road, Bargawan, Katni, Madhya Pradesh 483501 Khammam: Shop No: 11 - 2 - 31/3, 1st floor, Philips Complex, Balajinagar, Wyrna Road, Near Baburao Petrol Bunk, Khammam, Telangana 507001 Malda: Daxhinapan Abasan, Opp Lane of Hotel Kalinga, SM Pally, Malda, Westbanganl 732101 Manipal: CAMS SERVICE CENTER, Shop No-A2, Basement floor, Academy Tower, Opposite Corporation Bank, Manipal, Karnataka 576104 Mathura: 159/160 Vikas Bazar Mathura Uttarpradesh-281001 Moga: CAMS SERVICE CENTRE, No.9, New Town, Opp.Jaswal Hotel, Daman Building, Moga-142001 Namakkal: 156A / 1, First Floor, Lakshmi Vilas Building Opp. To District Registrar Office, Trichy Road, Namakkal, Tamilnadu 637001 Palanpur: CAMS SERVICE CENTER, Gopal Trade center, Shop No.13-14, 3Rd Floor, Nr.BK Mercantile bank, Opp.Old Gunj, Palanpur-385001 Rae Bareilly: 17, Anand Nagar Complex Opposite Moti Lal Nehru Stadium SAI Hostel Jail Road Rae Bareilly Uttar pradesh -229001 Rajapalayam: No 59 A/1, Railway Feeder Road (Near Railway Station) Rajapalayam Tamilnadu 626117 Ratlam: Dafria & Co, No.18, Ram Bagh, Near Scholar's School, Ratlam, Madhya Pradesh 457001 Ratnagiri: CAMS SERVICE CENTRE, Orchid Tower, Gr Floor, Gala No.06, S.V.No.301/Paiki 1/2, Nachane Municipice Aat, Arogya Mandir, Nachane Link Road, At, Post, Tal.Ratnagiri Dist.Ratnagiri-415612 Roorkee: 22, Civil Lines, Ground Floor, Hotel Krish Residency, Roorkee, Uttarakhand 247667 Sagar: Opp. Somani Automobile, s Bhagwanganj Sagar, Madhya Pradesh 470002 Shahjahanpur: Bijlipura, Near Old Dist Hospital, Jail Road, Shahjahanpur Uttarpradesh-242001 Sirsa: Ground Floor of CA Deepak Gupta, M G Complex, Bhawna marg, Beside Over Bridge, bansal Cinema Market, Sirsa Haryana, 125055 Sitapur: Arya Nagar Near Arya Kanya School Sitapur Uttarpradesh-261001 Solan: 1st Floor, Above Sharma General Store, Near Sanki Rest house, The Mall, Solan, Himachal Pradesh 173212 Srikakulam: Door No 4-4-96, First Floor. Vijaya Ganapathi Temple Back Side, Nanubala Street, Srikakulam, Andhra Pradesh 532001 Sultanpur: 967, Civil Lines Near Pant Stadium Sultanpur Uttarpradesh-228001 Surendranagar: Shop No. 12, M.D. Residency, Swastik Cross Road, Surendranagar - 363001 Tinsukia: CAMS Transaction Point, Bhowal Complex Ground Floor, Near Dena Bank, Rongagora Road PO / Dist - Tinsukia Assam PIN -786 125 Tuticorin: 4B/A16, Mangal Mall Complex, Ground Floor, Mani Nagar, Tuticorin Tamilnadu 628003 Ujjain: 123, 1st Floor, Siddhi Vinayaka Trade Centre, Saheed Park, Ujjain Madhya Pradesh 456010 Yavatmal: Pushpam, Tilakwadi, Opp. Dr. Shrotri Hospital, Yavatmal, Maharashtra 445001 Kukatpally: CAMS SERVICE CENTRE, No.15-31-2M-1/4, 1st floor, 14-A, MIG, KPHB colony, Kukatpally, Hyderabad-500072.

### NOT OFFICIAL POINT OF ACCEPTANCE

#### AMC OFFICES

Pune: 360 ONE Asset Management Limited (Formerly known as IIFL Asset Management Limited), office No 801, eight floor, vikram monarch, university road, Shivajinagar, Pune, Maharashtra - 411016. Hyderabad: 360 ONE Asset Management Limited (Formerly known as IIFL Asset Management Limited), G4 & G5, Roxan Fortune Tower, Road No.12, Banjara Hills, Hyderabad, Andhra Pradesh - 500034. Chennai: 360 ONE Asset Management Limited 5th Floor, Kothari Building, 114, Mahatma Gandhi Road, Nungambakkam, Chennai - 600 034. Ahmedabad: 360 ONE Asset Management Limited (Formerly known as IIFL Asset Management Limited), 704, 7th Floor, One42 South Tower, Next to Ashok Vatika Bopal Ambli Road, Ahmedabad, Gujarat - 380058. Bengaluru: 360 ONE Asset Management Limited (Formerly known as IIFL Asset Management Limited), Level 3, Prestige Nebula-1,8-12, Cubbon road, FMC Cariappa Colony, Shivanchetti Gardens, Bengaluru, Karnataka - 560001. Delhi: 360 ONE Asset Management Limited (Formerly known as IIFL Asset Management Limited), Second Floor, D-3 GYS Platinum, Saket District Center, New Delhi - 110017. Kolkata: 360 ONE Asset Management Limited (Formerly known as IIFL Asset Management Limited), Unit 3, Level 3, Camac Square, 24, Camac Street, Kolkata, West Bengal - 700016. Mumbai: 360 ONE Asset Management Limited (Formerly known as IIFL Asset Management Limited), 360 ONE Centre, 6th Floor, Kamala City, Senapati Bapat Marg, Lower Parel, Mumbai - 400 013. Chandigarh: 360 ONE Asset Management Limited (Formerly known as IIFL Asset Management Limited), SCO 135-136, 1st floor sector 9C Madhya Marg Chandigarh - 160009 Vadodara: 360 ONE Asset Management Limited A-301, Sahyog Attriium Above IDFC Bank, Old Padra Road Behind Jagdish Farsan Vadodara - 390 007.



**360 ONE Asset Management Limited (Formerly known as IIFL Asset Management Limited)**

7th Floor, 360 ONE Center, Kamala Mills Compound, Lower Parel, Mumbai - 400013.

Email ID: service@360.one

Toll-free number: 1800-2108-606 | Website: www.iiflmmf.com

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**Name Of Mutual Fund**

**360 ONE Mutual Fund**

**(Formerly known as IIFL Mutual Fund)**

360 ONE Centre, 6th Floor, Kamala City,  
Senapati Bapat Marg, Lower Parel,  
Mumbai - 400 013.

**Name Of Asset Management Company**

**360 ONE Asset Management Limited**

**(Formerly known as IIFL Asset Management Limited)**

Regd. Office: 360 ONE Centre, 6th Floor,  
Kamala City, Senapati Bapat Marg,  
Lower Parel, Mumbai - 400 013.

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**Name Of Trustee Company**

**360 ONE Asset Trustee Limited**

**(Formerly known as IIFL Trustee Limited)**

Regd. Office: 360 ONE Centre, 6th Floor,  
Kamala City, Senapati Bapat Marg,  
Lower Parel, Mumbai - 400 013.

**Name Of Sponsor**

**360 ONE WAM Limited**

**(Formerly known as IIFL Wealth Management Limited)**

Regd. Office: 360 ONE Centre, Kamala City,  
Senapati Bapat Marg, Lower Parel,  
Mumbai - 400 013.

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**Name of the Registrar**

**Computer Age Management Services Limited (CAMS)**

Regd. Office: No. 178 (New No. 10) M.G.R. Salai (Formerly Known As Kodambakkam High Road),  
Nungambakkam, Chennai - 600 034.



**360 ONE Asset Management Limited (Formerly known as IIFL Asset Management Limited)**  
7th Floor, 360 ONE Center, Kamala Mills Compound, Lower Parel, Mumbai - 400013.  
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Toll-free no. 1800-2108-606 | Website: [www.iiflmf.com](http://www.iiflmf.com)